IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Inventor(s):

William Alfred Reed

Mark J Schnitzer

Case:

1 ft 13

29-10

Serial No.:

Unknown

Filing Date:

August 5, 2003

Examiner:

Unknown

Group Art Unit:

Unknown

Title:

Fiber Devices Using Grin Fiber Lenses

COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

SIR:

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 CFR 1.97(b)</u>

In accordance with 37 CFR 1.97(b), the enclosed Information Disclosure Statement, with attached reference(s), is submitted for consideration in the above-identified application.

German patent publication No. 512393, i.e., reference AF3, is being disclosed, because the reference was cited in a foreign search report for a related patent application.

NO FEE IS REQUIRED

In the event of any non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Lucent Technologies Deposit Account No. 12-2325** as required to correct the error.

Respectfully,

John F. McCabe, Attorney

John Meale

Reg. No. 42854

908-582-6866

Date: Ang. 4, 2003

Docket Administrator (Room 3J-219)

Lucent Technologies Inc. 101 Crawfords Corner Road Holmdel, NJ 07733-3030

	Case Name.	W.A. Reed 29-10
	Serial No.	
INFORMATION DISCLOSURE STATEMENT	Applicant:	W.A. Reed, et al.
	Filing Date:	August 5, 2003
	Group:	

U.S. PATENT DOCUMENTS

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date
	AA3	6,172,817	Jan. 9, 2001	Senapati et al.	359	654	Apr. 13, 2000
	AB3	6,131,413	Oct. 17, 2000	Rousseau et al.	65	377	Mar. 22, 1999

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Subclass	Translation
AC3	WO 01/11409 A2	Feb. 15, 2001	PCT	G02B	23/24	Yes
AD3	EP1035083 A1	Sept. 13, 2000	EPC	C03B	37/018	Yes
AE3	EP0972752 A1	Jan. 19, 2000	EPC	C03B	37/018	Yes
AF3	512393	June 18, 1994	Germany	G028	6/28	No

OTHER (including Author, Title, Date, Pertinent Pages, etc.)

AG	Patent Abstracts of Japan Publication Number 60166244, "Manufacture of Optical Fiber Preform" by
	Patent Abstracts of Japan Publication Number 60166244, "Manufacture of Optical Fiber Preform" by Okamura Koji (Published on August 29, 1985, by Japanese Patent Office) 1 pg.
1 1	Shiraishi, K. et al: "Spotsize Contraction in Standard Single-Mode Fibers by Use of a GI-Fiber Tip with a High Focusing Parameter" IEEE PHOTONICS TECHNOLOGY LETTERS, Vol. 10, No. 12, December
	1998, pp. 1757-1759.

^{***}References listed beyond AZ would list as AA-1, AB-2, AC-3 thru AZ-26.

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EXAMINER	DATE CONSIDERED

^{***}Note First Page ONLY Header/Footer. Subsequent pages must ONLY have page # layout as header

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

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Har Grand Constitution of the Constitution of	Filing Date:	August 5, 2003
	Group:	

U.S. PATENT DOCUMENTS

Examiner		Document Number	Date	NAME Name	Class	Subclass	Filing Date
<u>Initial</u>		6,366,716	4/2/2002	Graves	G02B	6/26	6/15/2000
	AA2	6,373,048	4/16/2002	Tschanun	G01C	18/72	1/18/1999
	ABZ	6,507,438	1/14/2003	Bhagavatula	G02B	27/00	9/25/2001
	AC2		4/15/1997	Yokayama et al.	G02B	6/26	12/14/1994
	AD2	5,621,832	8/28/2001	Haake	G02B	6/36	12/30/1998
	AEZ	6,280,100	9/3/2002	Swanson et al.	A61B	5/00	8/9/1999
	AF2	6,445,939		Ao	G02B	6/26	8/28/2000
	AG2	6,411,753	6/25/2002	Boppart et al.	A61B	1/06	3/6/1998
	AH2	6,485,413	11/26/2002	Fan et al.	G02B	6/35	1/13/2000
	AIZ	6,449,406	9/10/2002		G02B	6/26	6/23/1993
	AJ2	5,384,874	1/24/1995	Hirai et al.		345	Feb. 27,
	AA2	6,134,003	Oct. 17, 2003	Guillermo Tearney et al	356	340	19 96
 	AB2	5,321,501	June 14, 1994	Eric A. Swanson	356	345	April 29, 19 92
	AC2	5,956,355	Sept. 21, 1999	Eric A. Swanson	372	20	June 17. 1997
	AD2	5,910,839	June 8, 1999	David J. Erskine	356	345	March 14, 1997

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INFORMATION DISCLOSURE STATEMENT	Serial No. Applicant:	W.A. Reed 29-10 W.A. Reed, et al. August 5, 2003	
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*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date
	AA1	4,217,027	8/12/80	MacChesney et al.	350	96.3	8/29/77
	AB1	4,262,035	4/14/81	Jaeger et al.	427	39	3/7/80
	AC1	4,701,011	10/20/87	Emkey et al.	350	96.18	1/15/85
	AD1	4,900,120	2/13/90	Caviglia et al.	350	96.18	11/3/88
	AE1	4,909,816	3/20/90	MacChesney et al.	65	3.12	7/26/83
	AF1	5,076,672	12/31/91	Tsuda et al.	359	244	9/18/89
	AG1	5,337,380	8/9/94	Darbon et al.	385	28	9/15/92
	AH1	5,384,874	1/24/95	Hirai et al.	385	34	6/23/93
	AI1	5,680,237	10/21/97	Cheng	359	131	11/16/95
	AJL	5,905,838	5/18/99	Judy et al.	385	123	2/18/98
	AK1	6,014,483	1/11/00	Thusi et al.	385	33	8/13/97
	AL 1	6,105,396	8/22/00	Glodis et al.	65	377	7/14/98
	AM1	6,219,477	4/17/01	Peck, Jr.	385	34	6/29/99
	ANI	09/919,017		Reed et al			07/31/01
	AO1	09/922,659		Fee et al			08/06/01
	APL	6.542,665	4/1/03	Reed et al	385	34	6/29/01
	AQ 1	5,050,954	09/24/91	Gardner et al	385	16	01/12/90

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	Document Number	Date	Country	Class	Subclass	Translation

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	Emkey, W.L. et al., IEEE, "Analysis and Evaluation of Graded-Index Fiber-Lenses", Vol. 5, No. 9, pag. s
AR1	1156-1164, September 1987.
AS1	Chandou, P. et al., "Expanded Signel Mode Fiber", WDD4, 2000.
AT	A Product Appuncement of ENST Bretagne, Chandlou, P. et al., "Expanded Signel Mode Fiber", 1-page, March 2001.

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